Receipt date: 07/21/2006

7/21/2006 JUL



# IN THE UNITED STATES THE NT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuaki KATAGIRI, et al.

SERIAL NO: 10/577,875

GALL

FILED:

Docket No.

April 28, 2006 EXAMINER:

FOR: CARBON NANOTUBE-DISPERSED COMPOSITE MATERIAL, METHOD FOR PRODUCING SAME AND

ARTICLE SAME IS APPLIED TO

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

# REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

# RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21,004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- □ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Norman F. Oblon

Registration No. 24, 618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) Surinder Sachar

Registration No. 34, 423

| Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE |          |                    | ATTY DOCKET NO. 3 1 21   | <u> </u>                              | SERIAL N                            |                    |             |   |
|--|----------|--------------------|--------------------------|---------------------------------------|-------------------------------------|--------------------|-------------|---|
|  |          |                    | 290088USOX PC            | *S                                    | 10/577,                             | 875                | _           |   |
| LIST OF REFERENCES CITED BY APPLICANT  |          |                    |                          | 74 1 E.G                              |                                     |                    |             |   |
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|  |          |                    |                          | April 28, 2006 U.S. PATENT DOCUMENTS  | _                                   | L                  |             |   |
| 54440455   |          | DOCUMENT           |                          |                                       | 1                                   | SUB                | FILING DAT  | _ |
| EXAMINER<br>INITIAL  |          | NUMBER             | DATE                     | NAME                                  | CLASS                               | CLASS              | IF APPROPRI |   |
|  | AA       |                    |                          |                                       |                                     |                    |             |   |
|  | AB       |                    | 1                        |                                       |                                     |                    |             |   |
|  | AC       |                    |                          |                                       |                                     |                    |             |   |
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|  | AE       |                    |                          |                                       | -                                   |                    |             |   |
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|  | AJ       |                    | -                        |                                       |                                     |                    |             |   |
|  | AK       |                    |                          |                                       |                                     |                    |             |   |
|  | AL       |                    | <b>—</b>                 |                                       |                                     |                    |             |   |
|  | AM       |                    |                          |                                       |                                     |                    |             |   |
|  | AN       |                    |                          |                                       |                                     |                    |             |   |
|  |          |                    | FO                       | REIGN PATENT DOCUMENTS                |                                     |                    |             |   |
|  |          | DOCUMENT<br>NUMBER | DATE                     | COUNTRY                               |                                     | TRANSLATION YES NO |             | , |
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|  | AW       |                    |                          |                                       |                                     |                    |             |   |
|  | AX       |                    |                          |                                       |                                     |                    |             |   |
|  | AY       |                    |                          |                                       |                                     |                    |             |   |
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|  | AZ       |                    |                          |                                       | itional References sheet(s) attache |                    |             |   |

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| Receipt date: 07/21/2006                                      | JUL 2 1 2006                     | 10577875 - GAU: 179    |
|   | 290088US0X PCT                   | Serial No.: 10/577,875 |
|   | Inventor: Kazuaki KATAGIRI, et a | al.                    |
| LIST OF RELATED CASES CITED BY<br>APPLICANT UNDER 37 CFR 1.56 | Filing Date: April 28, 2006      | Group:                 |
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# LIST OF RELATED CASES

| Examiner<br><u>Initial</u> | Docket No.     | Serial or<br>Patent<br>Number | Filing or<br>Issue Date | Patent App. Publication No. | Inventor or<br>Applicant |  |
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|                            | 290089US0XPCT  | 10/577,323                    | 04/28/06                |                             | KATAGIRI, et al.         |  |
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Examiner

/Bijay Saha/ (05/13/2009) Date Considered

05/13/2009

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.S./ (05/13/2009)

\*Present Application; listed for information NFO/sbc/kch